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The Political Economy of Health Worker Absence

Experimental
Evidence from
Pakistan



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The Political Economy of Health Worker Absence: Experimental Evidence from Pakistan^{*}

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Preliminary

Abstract

In many developing countries, public sector absence is both common and highly intractable. One explanation for this is that politicians provide public jobs with limited work requirements as patronage. We test this explanation for absence in Pakistan using: (i) a controlled evaluation of a novel smartphone technology designed to increase inspections at rural clinics; (ii) data on election outcomes in the 241 constituencies where the experiment took place; (iii) attendance recorded during unannounced visits and; (iv) surveys of connections between local politicians and health staff. Three results suggest that absence is linked to patronage. First, while doctors are present at 40 percent of facilities during unannounced visits in highly competitive electoral districts, they are almost always absent in captured districts. Second, doctors who know their local parliamentarian personally are present during an average of 0.727 of three unannounced visits, while unconnected doctors are present at 1.309 of the three visits. Last, the effects of the smartphone monitoring technology, which almost doubled inspection rates, are highly localized to competitive electoral districts. We also find evidence that program impact is in part due to the transmission of information to senior officers using manipulations of an online dashboard.

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1 Introduction

Patronage politics often leads to the selection of inefficient policies. In clientelistic systems, politicians win office by providing targeted benefits to supporters at the cost of services which provide broader collective benefits, with negative implications for economic and human development.¹ Government jobs are commonly used for patronage. In developing countries, government workers are also frequently absent despite being generally well-compensated.² Moreover, public sector absence also tends to be intractable. Many policies aimed at improving attendance only work temporarily. We investigate whether the persistence of public sector absence in developing countries is linked to the use of public jobs as patronage.

Governments jobs are ideal for patronage; they can be targeted to individuals, provide a credible stream of benefits, and are reversible (Robinson and Verdier 2002). This is particularly true if politicians can minimize the actual work required in the position. Historically, jobs have been used as patronage in many settings. Chubb (1983) argues that, under the control of the Christian Democrats in Naples and Palermo during the 1950s, politicians allocated public sector jobs “on the basis of political favoritism, often having nothing to do with effective work loads or even with the actual presence of the employee in his office.” So-rauf (1956) describes a similar system for road workers in Centre County, Pennsylvania and Johnston (1979) for unskilled public sector jobs in New Haven, Connecticut. Wilson (1961) describes the centrality of public jobs in maintaining the Tammany Hall political machine in New York and the Democratic Party machine in Chicago in the early 20th century. In all three settings, the beneficiaries commonly rewarded politicians with votes, party campaign

¹Bates (1981) provides the authoritative account relating to Africa’s development, arguing that African governments deliberately overvalued their exchange rates in order to subsidize politically powerful urban elites with cheaper imports at the expense of the rural poor. Khwaja and Mian (2005) and Fisman (2001) provide evidence that politicians provide preferential government benefits to firms and Dube et al. (2011) find patterns in stock returns consistent with the U.S. government providing insider information to investors about future international interventions. Dahlberg and Johansson (2002) show that the Swedish central government allocated discretionary government grants for ecologically sustainable development based primarily on the number of swing voters.

²We find that 68.5 percent of doctors are absent prior to our intervention. This compares with the average across Bangladesh, Ecuador, India, Indonesia, Peru and Uganda of 35 percent reported in Chaudhury et al. (2006).

work, monetary contributions, and by swinging blocs of voters.³

The development literature identifies public worker absence as key obstacle to delivering services to the poor (Banerjee and Duflo 2006; Chaudhury et al. 2006). With the notable exception of a camera monitoring initiative in Udaipur, Rajasthan reported in Duflo et al. (2012), absence appears unresponsive to increasing inspections, particularly when inspectors are not assisted by technologies that limit their discretion. Banerjee and Duflo (2006) review unsuccessful monitoring initiatives in Kenya and India and Banerjee et al. (2008) details the complicity of the local health administration in the failure of a monitoring initiative in rural Rajasthan. These findings support the broader position that the effects anti-corruption initiatives tend to attenuate over time (Olken and Pande 2012).

These studies propose several solutions. Duflo et al. (2012) indicate that teachers must also receive a premium for attending work; monitoring fails when workers are not paid more than their outside wage. Banerjee et al. (2008) encourage increasing senior level ownership and improving incentives for senior managers to make sure their subordinates are present. Chaudhury et al. (2006) explore the possibility of local monitoring, acknowledging that decentralized management systems may be more prone to local capture. We investigate whether public worker absence is linked to the usefulness of jobs with minimal attendance requirements for political patronage.

We examine this in three ways. First, we combine data on election outcomes in local elections with independently collected data on doctor absence. Second, we directly interview doctors to examine whether their connections to politicians are related to their job performance and to the desirability of their posting. Last, we experimentally evaluate a novel smartphone attendance monitoring program across 241 of the 297 (81 percent) of the Provincial Assembly constituencies in Punjab, examining whether impact depends on the degree of local political competition.⁴

³Sorauf (1956) shows that the road crew organizers were more politically active than their subordinates, arguing that the strongest supporters should be placed in jobs where they have the most influence.

⁴There are 371 seats in the Punjab Provincial Assembly. Of these, 66 are reserved for women and eight are reserved for non-muslims, leaving 297 elected seats.

This investigation yields three main results which link health service provision to local political outcomes. First, absence is more severe in less competitive districts. Second, politically connected doctors are more frequently absent. Third, while the smartphone monitoring program almost doubled health worker attendance, the effects of the program are highly localized to competitive districts.

We also directly examine whether impacts on doctor attendance result in part from the smartphone system channeling information directly to senior health officials. We do this by selecting an arbitrary threshold at which facilities are flagged as underperforming on an online dashboard visible only to senior officials. Flagging a facility reduces subsequent doctor absence by about 18 percent. Placebo tests of alternative arbitrary thresholds support the causal interpretation of these findings.

We point to two central implications. First, our data link the finding in development economics that absence is both severe and difficult to address to the observation in political science that public jobs represent a core means of patronage. Second, remedying the problem of absence faces the challenge of well-protected government jobs being an attractive means of patronage, both for politicians and constituents. This suggests that lasting improvements to health worker attendance may require strictly limiting the ability of elected politicians to interfere in the allocation of public sector jobs. Additionally, policies which reduce politicians reliance on patronage may address the problem of absence.

The paper proceeds as follows: Section 2 provides institutional details of the public health sector and describes the smartphone monitoring technology. Section 3 describes the experimental evaluation. Section 4 reviews the primary data on absence. Section 5 presents our non-experimental analysis of election outcomes and doctor absence. Section 6 provides results from the experiment and Section 7 concludes.

2 Background

2.1 The Public Health System

In Punjab province, the provision of health care services is managed by the Department of Health, which is based at the provincial headquarters in Lahore. There are five major types of facilities: (1) Basic Health Unit (BHU); (2) Rural Health Center (RHC); (3) Tehsil Headquarter Hospital⁵ (THQ); (4) District Headquarter Hospital (DHQ); (5) Teaching Hospitals.

We focus on Basic Health Units (BHUs). BHUs are the smallest public health care units. They are designed to be the first stop for patients seeking medical treatment in government facilities. (Hereafter in this paper, we use the word 'clinic' interchangeably to describe BHUs). There are 2496 BHUs in Punjab.⁶ They largely serve rural populations; almost all such clinics are exclusively operating in rural and peri-urban areas. These clinics provide several services, including out-patient services, neo-natal and reproductive healthcare, and vaccinations against diseases. Each facility is headed by a doctor, known as the Medical Officer, who is supported by a Dispenser, a Lady Health Visitor, a School Health and Nutrition Supervisor, a Health/Medical Technician, a Mid-wife and other ancillary staff. Officially, clinics are open, and all staff are supposed to be present, from 8am to 2pm.

2.1.1 Health Sector Administration

District governments are responsible for managing local health facilities. The District Health Department is headed by an Executive District Officer who reports both to the chief bureaucrat of the district and to the most senior provincial health officials.⁷ He is supported by several Deputy District Officers, typically one for each tehsil.⁸ Figure 1 depicts the (simplified) health administration hierarchy in Punjab, Pakistan.

⁵In Punjab, a Tehsil is the largest sub-division of a district

⁶Each Basic Health Unit serves approximately one Union Council (Union Councils are smallest administrative units in Pakistan).

⁷The Director General of Health Services and the Secretary of the Health Department

⁸The Executive District Officer is also supported by other staff, but they are excluded for clarity because they are irrelevant to our discussion here.

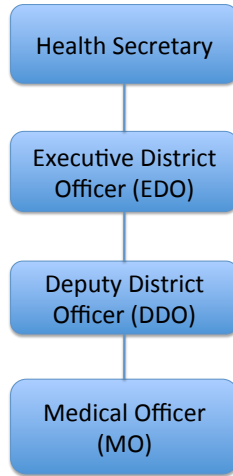


Figure 1: Health Sector Administration in Punjab

The central department has also established a parallel entity known as the Punjab Health Sector Reform Program (PHSRP). PHSRP is tasked with initiating programs to reform the primary health system with support from international and donor organizations. PHSRP is responsible for the implementation of the smartphone monitoring program we evaluate in this paper.

The Deputy District Officer is the lowest position in the officer-cadre of district health administration. He inspects all health facilities in a given Tehsil. This officer is required to visit every clinic at least once a month and record information collected during the visit on a standard form. The Deputy District Officer has authority to punish the clinic's absent staff by issuing a show-cause notice, suspension and withholding pay (in case of contract staff). The Executive District Officer relies entirely on this subordinate officer to ensure staff presence. As the administrative head of the health department in the district, the Executive District Officer desires smooth functioning of the setup at minimum acceptable level. He relies on the Deputy District Officer to ensure this smooth function by sanctioning underperforming facilities in terms of staff attendance, medicine availability and cleanliness

etc.

2.1.2 Career Concerns and Internal Agency Problems

The Executive District Officer faces a severe agency problem in managing his deputy inspectors. This is for several reasons. First, he has limited visibility into the inspectors' activities. Second, he has only two weak means of sanctioning an inspector. He can either issue a verbal reprimand or, in serious cases, send a written request for investigation to provincial authorities. The investigation process is long, highly bureaucratic, and prone to interference by elected politicians.

The career concerns of the Executive District Officer and his deputy inspectors are also fundamentally different. The Executive District Officer reports directly to senior provincial authorities who face few bureaucratic hurdles to sanctioning and hold him directly accountable for service delivery in his district. Performance for the EDO is commonly rewarded with appointment to a higher office. In contrast, the Deputy District Officers are neither officially nor practically accountable for health service delivery. Appointees to this position have to serve for years before they are considered for promotion to the next level in the district. This lack of opportunity to move to a leadership position outside of the district setup diminishes immediate interest in improving the outcomes in the Tehsil, and creates misaligned interests between them and the Executive District Officers.

2.1.3 Doctors and Politicians

Influence over public sector positions provide politicians two means of patronage. First, politicians help health officials obtain postings in their region of choice (usually their home union council). Second, once posted, health officials also appeal to politicians for protection against suspension, transfer, and other sanctions for underperformance.

Many staff members belong to politically powerful clans and families. These staff can provide three types of favors to politicians. First, they can activate their networks to mobilize

votes. Second, health staff are commonly recruited to assist the election commission with drawing up voter lists and overseeing polling on election day. Third, they can provide preferential care to supporters or condition care on support.

2.2 Smartphone Monitoring

Our project attempts to explore the use of audits by government monitors as a solution to the problem of absence. As in Dufflo et al. (2012), we explore a technology-based initiative that seeks, in part, to detect absence. There is increasing interest in using ICT to rapidly collect information that is useful to auditors. Solving intra-bureaucracy agency problems is a potential application. We implement a smartphone-based solution that allows health system inspectors to upload the results of their assigned visit to a basic health facility to an aggregating website (dashboard), which instantly updates reports at different levels of aggregation (zonal and provincial) with the information captured by this most recent visit.

The “Monitoring the Monitors” program replaced the traditional paper-based monitoring system, which collects data on facility utilization, resource availability, and worker absence, with an android-based smartphone application. Data are transmitted to a central database using a General Packet Radio Service (GPRS) in real time. Data are then aggregated and summary statistics, charts, and graphs are presented in a format designed in collaboration with senior health officials. That data are: (i) aggregated in the province in real time; (ii) geo-tagged, time-stamped, and complemented with facility staff photos to check for reliability; and (iii) available in real time to district and provincial officers through an online dashboard. Figure 2 shows one view of the online dashboard.

Application development started in August 2011. After developing the application and linking it to a beta version of the online dashboard, the system was piloted in the district of Khanewal. We remove Khanewal district from the experimental sample. Health administration staff were provided with smartphones and trained to use the application. The main purpose of the pilot was to ensure that the technology was working and to refine the appli-

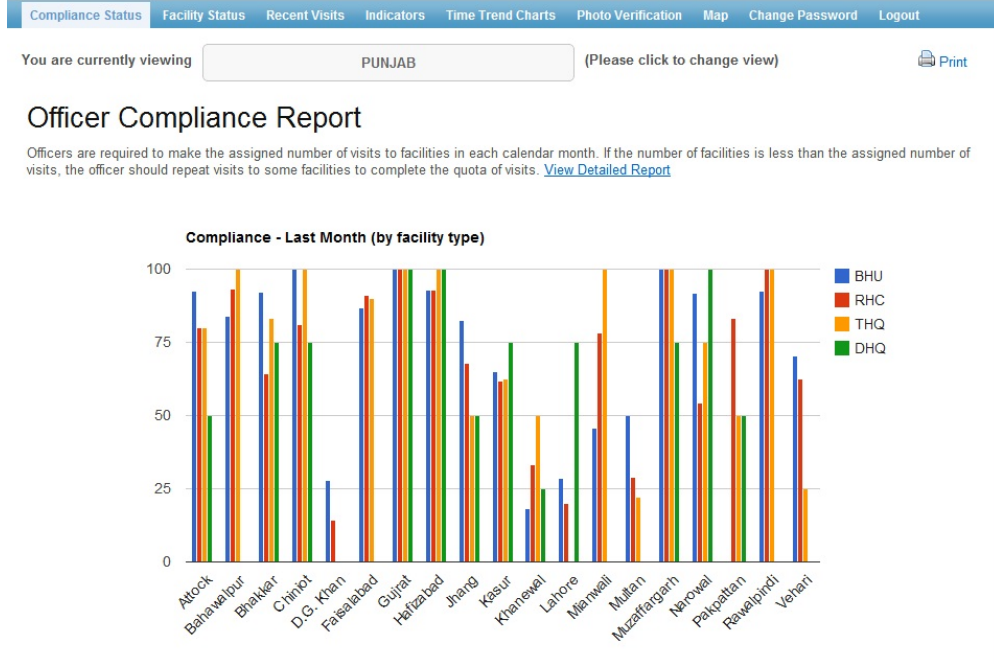


Figure 2: Online Dashboard - Summary of Inspection Compliance by District

cation and the dashboard. During the pilot, several inspectors requested that the program require pictures of all staff in attendance, not just the inspector because they thought it might reduce pressure from health staff to falsify attendance.

3 Experiment

Our experimental sample comprised all health facilities in the district of Punjab, which has a population of 100 million. Tens of millions of public sector health users therefore stood to benefit from the program. We randomly implemented the program in 18 of the 35 districts in our experimental sample. In assigning treatment we stratified on baseline attendance (from primary or secondary data) and the number of clinics in a district to ensure a roughly even number of treatments and controls. Figure 3 depicts control and treatment districts.

We randomized at the district level. The intervention channels information about in-

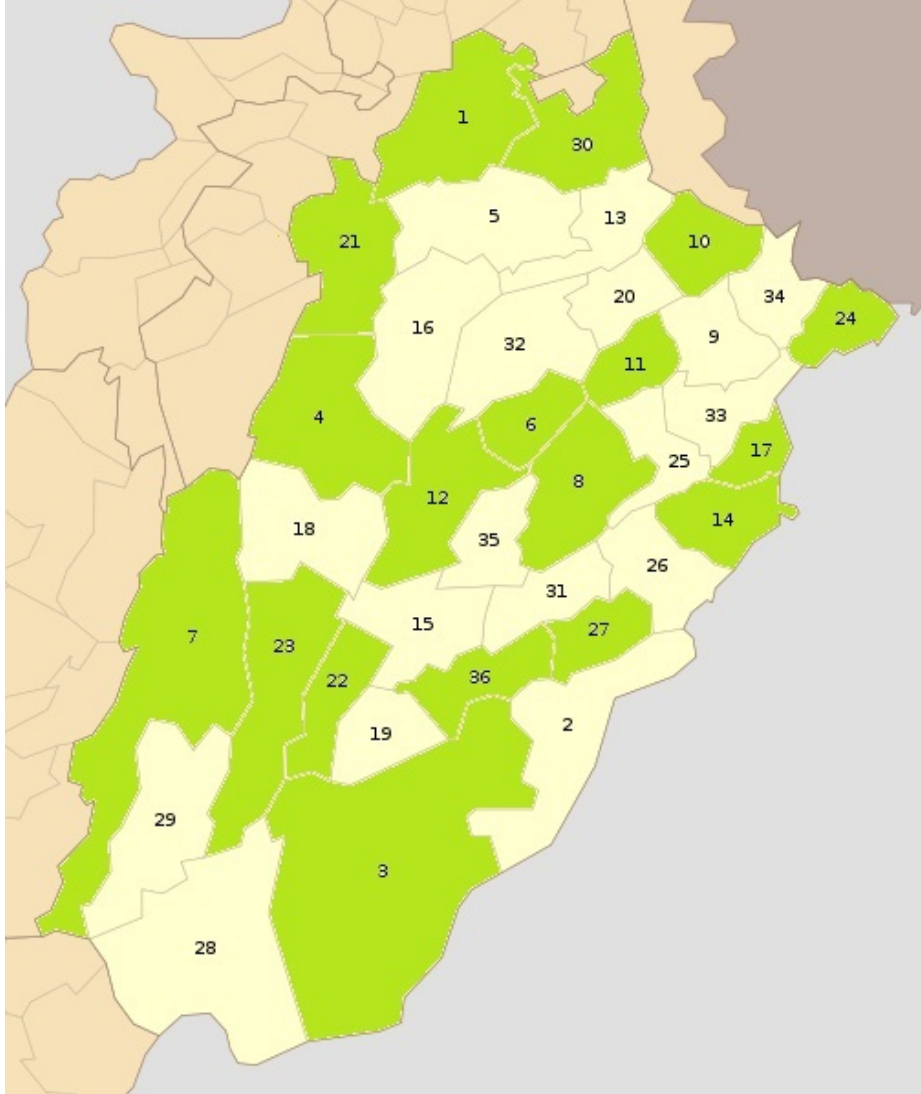


Figure 3: Treatment and Control Districts

spections to district health officials; randomization at a finer level is therefore very likely to generate externalities. The Department of Health also determined that sub-district randomization was not administratively feasible. Cluster randomization also allays some concerns about externalities generated by interactions between inspectors in the same district. All inspectors in a district are required to attend monthly meetings. While they typically have frequent interactions within districts, these relations are much weaker across districts.

4 Data

4.1 Primary Data

We collected primary data on a representative sample of BHUs 850 (34 percent) of the 2,496 Basic Health Units in Punjab. We made unannounced visits to these facilities three times, first in November 2011, then in June 2012 and in October 2012. BHUs were selected randomly using an Equal Probability of Selection (EPS) design, stratified on district and distance between the district headquarters and the BHU. Therefore, our estimates of absence are self-weighting, and so no sampling corrections are used in the analysis. All districts in Punjab except Khanewal are represented in our data. To our knowledge, this is the first representative survey of BHUs in Punjab. Figure 4 provides a map of the Basic Health Units in our experimental sample along with the different Provincial Assembly constituencies in Punjab.

In our sample of 850 clinics, we collected data through independent inspection. Our team collected information on staff absence and facility usage. Our staff interviewed the Medical Officer, the Dispenser or Health/Medical Technician, and the Lady Health Visitor before physically verifying the attendance of the Mid-Wife and the School Health and Nutrition Specialist. Our survey teams were trained at regional hubs (four in total) where they were trained by senior enumerator trainers and our team members. Following these trainings, the teams made visits to BHUs in their assigned districts and remained in regular contact with their team leaders and our research team. Surveys took three weeks to field for each wave. The attendance sheet for the staff was filled out at the end of the interviews and in private. Data collection and entry followed backchecks and other validation processes consistent with academic best practice.

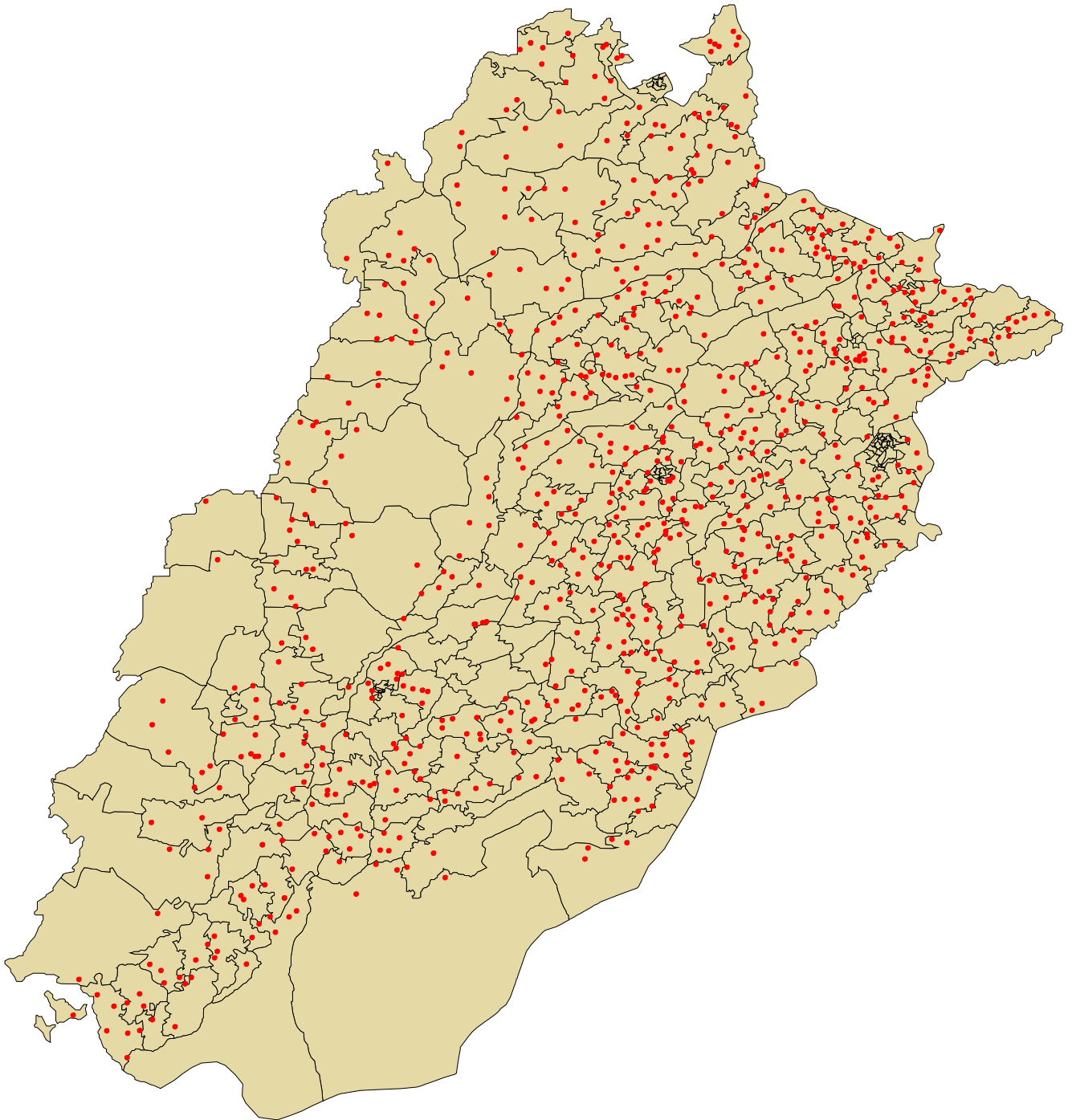


Figure 4: Locations of Basic Health Units in the Experimental Sample

4.2 Election Data

We also make use of election data for the 2008 Punjab Provincial Assembly elections.⁹ These data provide candidate totals by constituency for all candidates running in the election. Constituencies for the Punjab Provincial Assembly are single-member.

5 Elections and Health Worker Attendance

To motivate our analysis, we present a few correlations which suggest a relationship between the strength of local politicians and doctor attendance. During our doctor interviews, we collected data on their tenure in the post, the distance of their post from their hometown, and whether they know the local Member of the Provincial Assembly (MPA) personally. To ensure sampling of doctors who were not present at their clinics during any of our three visits, we pursued the absent doctors until we could find them and interview them. For this analysis, we restrict ourselves to control districts to avoid reporting correlations induced by our treatment.

Table 1 summarizes the data used for this analysis. The data reveal that doctor attendance in our control districts is quite low. While our visits took place during normal operating hours, we were able to locate doctors in only 22.5 percent of our visits. All BHUs are supposed to have doctors posted. However, because of a combination of a shortage of doctors, a lack of interest in rural postings, and perhaps misreporting to disguise absence, we find that only 53.2 percent of BHUs have doctors posted. Even accounting for this low rate of posting, doctor are present at only 42.29 percent of actual postings. Of the set of doctors we observe, 24 percent report knowing the doctor personally.

As we describe in Section 4, we identified the provincial assembly constituency in which each of our clinics are located. In our control districts, we have BHUs in 124 constituencies. We construct two measures of the degree of local electoral capture: “political concentration,”

⁹We thank Ali Cheema and Farooq Naseer for kindly sharing this data. In cases where a by-election has happened since 2008, we take the most recent election in advance of our study

Table 1: Summary Statistics

Variable	Mean	Standard Deviation	# Observations
Doctor Present (=1)	0.225	0.418	1192
Doctor Posted at Clinic (=1)	0.532	0.499	1192
Doctor Knows Local MPA Personally (=1)	0.24	0.428	569
Distance to Doctor's Hometown (minutes)	122.765	302.062	204
Doctor's Months of Service	98.872	98.769	195
Distance to District Headquarters (km)	49.065	28.781	1258
Catchment Population (1,000)	24.777	8.547	1249
Political Concentration	0.62	0.139	1259
Victory Margin Share	0.157	0.106	1259

Notes: Sample: Control district clinics, survey waves 1 - 3. Political Concentration is a Herfindahl index computed as the sum of squared vote shares for each party in a Provincial Assembly constituency ranging from 0.19 in the most competitive district to one in uncontested districts.

a normalized Herfindahl index computed as the sum of squared vote shares for each party in the constituency divided by the maximum Herfindahl score in our sample (0.55) and “Victory Margin Share” which is simply the victory margin for the winning candidate as a share of total votes cast in the local election. Political concentration ranges from 0.325 in the most competitive constituency to one in uncontested constituencies.¹⁰ The victory margin share in these 124 constituencies ranges from 0.0016 percent to 1 in uncontested districts. Figure 5 maps the political concentration measure for each constituency in Punjab. The degree of political contestation appears only weakly correlated with geography.

In Table 2 we report correlations between these measures of local political competition and doctor attendance. Columns (1) - (3) report regressions using the political concentration Herfindahl as an explanatory variable and (4) - (6) report the same specifications using victory margin share. We find that doctors attend work more often in competitive constituencies. In all specifications, we include Tehsil (county) fixed effects, which restricts our variation to geographically proximate political constituencies that should be broadly similar in terms of remoteness, climate, and desirability of doctor postings. While there are a range

¹⁰Before dividing by the maximum Herfindahl score in our sample, political concentration ranges from 0.19 to 0.55

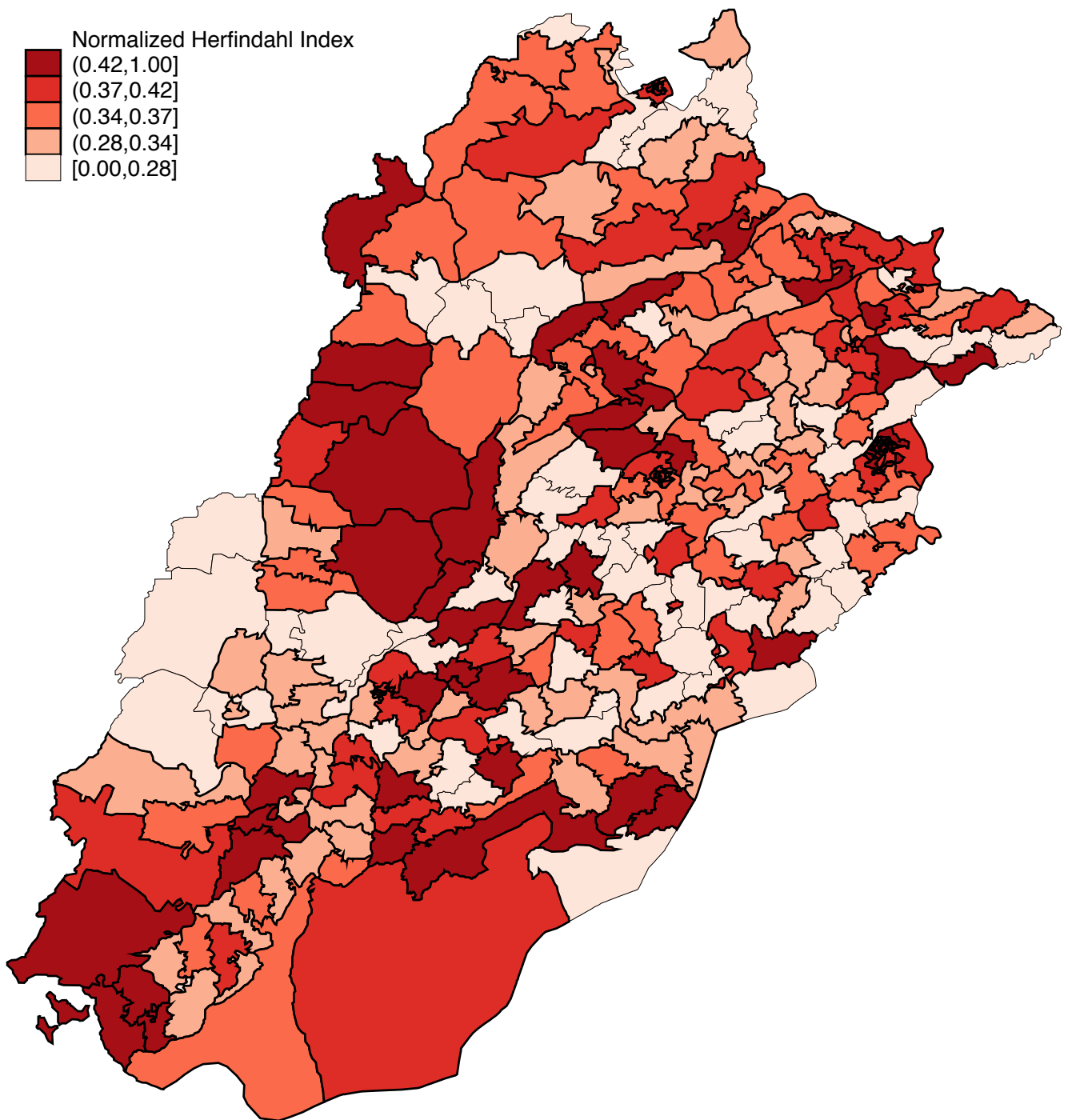


Figure 5: Electoral Competitiveness in Punjab (Normalized Herfindahl Index)

of plausible omitted variables prohibiting a causal interpretation, we find that the correlation is robust to including controls for catchment population, distance to the district center, and whether a doctor was reported by other staff to be posted.

Table 2: Political Competition and Doctor Attendance

Dependent Variable:	Doctor Present (=1)					
	(1)	(2)	(3)	(4)	(5)	(6)
Political Concentration	-0.374*** (0.135)	-0.354** (0.136)	-0.176* (0.097)			
Victory Margin				-0.265 (0.178)	-0.297* (0.166)	-0.224* (0.120)
Distance to District Center (km)		-0.002*** (0.001)	-0.001* (0.001)		-0.003*** (0.001)	-0.001** (0.001)
Catchment Population (1,000)		0.004* (0.002)	0.001 (0.002)		0.004** (0.002)	0.001 (0.002)
Doctor Assigned (=1)			0.403*** (0.031)			0.405*** (0.031)
Constant	0.465*** (0.086)	0.488*** (0.111)	0.140* (0.082)	0.275*** (0.029)	0.306*** (0.058)	0.060 (0.048)
# Constituencies	124	124	124	124	124	124
# Observations	1190	1182	1182	1190	1182	1182
R-Squared	0.158	0.170	0.322	0.154	0.167	0.322

Notes: * $p < 0.1$, ** $p < 0.05$, *** $p < 0.01$. Sample: Control district clinics survey waves 1 - 3. Standard errors clustered at the provincial assembly constituency level reported in parentheses. Sample: control district Basic Health Units (BHUs). Political Concentration is a Herfindahl index computed as the sum of squared vote shares for each party in a constituency ranging from 0.19 in the most competitive district to 1 in uncontested districts. All regressions include Tehsil (county) and survey wave fixed effects.

The results in Table 2 are consistent with two theories. First, it may be that in highly competitive districts politicians face stronger incentives to make sure health services are effectively delivered. Second, it may be that politicians who can capture districts are more likely to provide sinecures as patronage. Doctors in patronage jobs may be expected to work less. To investigate which of these is operative, we asked doctors whether they knew their local MPA personally. 266 doctors were absent during all of our three visits. After our third visit to the facilities, we pursued all 266 until we were able to interview them.

Table 3 examines whether doctors with a direct connection to the provincial assembly member serving in their constituency are more likely to be absent. We run regressions of the form:

$$Present_i = \beta_0 + \beta_1 Knows\ Parliamentarian_i + \epsilon_i \quad (1)$$

for each doctor i in our sample. We record whether doctors are present on three separate visits. $Present_i$ therefore ranges between 0 and 3.

Columns (1) - (4) report results using only the 188 doctors posted in our control sample. Column(5) reports the same specification for our entire sample. Doctors who do not know their local MPA directly are present at an average of 1.309 of our 3 visits, while doctors who do know their MPA are present at only 0.727 visits. These effects are robust to including either district or Tehsil fixed effects, and including a range of controls. We provide further support for the arguments that connected doctors enjoy preferential benefits in Table A2. We find that doctors who know their local MPA are able to obtain postings closer to their hometown, which are widely thought to be more desirable.

Table 3: Political Connections and Doctor Attendance

Dependent Variable:	Number of Times Doctor Present (Max = 3)				
	(1)	(2)	(3)	(4)	(5)
Doctor Knows Local MPA Personally (=1)	-0.582*** (0.132)	-0.598*** (0.144)	-0.553*** (0.170)	-0.448** (0.222)	-0.390*** (0.144)
Patients Treated		0.000 (0.000)	-0.000 (0.000)	0.000 (0.000)	0.000** (0.000)
Catchment Population (1,000)		0.000 (0.007)	0.000 (0.008)	-0.003 (0.014)	0.006 (0.006)
Distance to District Center (km)		0.004** (0.002)	-0.002 (0.003)	-0.003 (0.005)	-0.000 (0.003)
Doctor Assigned (=1)		1.018*** (0.206)	0.724** (0.281)	0.318 (0.355)	0.983*** (0.205)
Constant	1.309*** (0.063)	0.204 (0.261)	0.801** (0.345)	1.228** (0.540)	0.182 (0.272)
Tehsil County Fixed Effects	No	No	Yes	No	No
Constituency Fixed Effects	No	No	No	Yes	Yes
Sample	Controls	Controls	Controls	Controls	Full Sample
# Doctors	214	213	213	213	506
R-Squared	0.062	0.145	0.422	0.617	0.565

Notes: * $p < 0.1$, ** $p < 0.05$, *** $p < 0.01$. Robust standard errors reported in parentheses. Sample: control district Basic Health Units (BHUs). Political Concentration is a Herfindahl index computed as the sum of squared vote shares for each party in a constituency ranging from 0.19 in the most competitive district to 1 in uncontested districts. All regressions include Tehsil (county) and survey wave fixed effects.

These correlations suggest that local politicians may secure office by providing sinecures to supporters. This theory has predictions for the effectiveness of our experiment. Politically connected inspectors and doctors should be less sensitive to monitoring. While monitoring

innovations increase the probability they are detected shirking, these incentives will not be binding for bureaucrats who are protected by their relations to local politicians.

6 Experimental Results

With this motivation as background, we now present our experimental results. Table 4 verifies balance in our experiment. As we discuss in Section 3, we stratified treatment on the share of staff present during our baseline interview. While this achieved balance for five of the six categories of staff that are supposed to be present at BHUs, we have a large and significant imbalance for doctors. Figure A2 reports a long time series of administrative data on doctor attendance from paper records. We find that the difference in levels does not reflect a difference in pre-treatment trends, allaying some concerns that our fixed effects estimates are not causal.¹¹

We begin by examining the impact of treatment on health worker attendance. We test for impacts on inspectors, where the program provides the sharpest incentives, doctors, and total staff.

We estimate regressions of the form:

$$Y_{dit} = \alpha + \beta Treatment_{dit} + \sum_{i=1}^3 \delta_t + \lambda_i + \varepsilon_{it} \quad (2)$$

Y_{dit} is health worker attendance or official inspection, where i refers to the clinic, d refers to the district, and t to the survey wave. We cluster all standard errors at the district level. With only 35 districts, we also use randomization inference. Figure A1 shows our actual impact against impacts estimated from 1,000 hypothetical treatment assignments.

The first column verifies that the program increased inspections. The smartphone monitoring system directly impacts health inspectors, as their activities are geostamped, timestamped, and observed in real time. We do not observe any significant average impacts on

¹¹Note that this depicts the sample average. The effects we find on doctor attendance are localized to the subsample of clinics in competitive districts.

Table 4: Randomization Verification

	Conventional Monitoring (=1)	Smartphone Monitoring (=1)	Difference	P-value
BHU open during visit (=1)	0.926 [0.261]	0.930 [0.256]	-0.003 (0.031)	0.915
Inspector Has Visited in the Last Month (=1)	0.234 [0.424]	0.214 [0.411]	0.020 (0.057)	0.731
Number of Staff Present	2.729 [1.514]	2.874 [1.638]	-0.144 (0.181)	0.431
Number of Staff Assigned	5.121 [0.924]	5.286 [0.941]	-0.165 (0.126)	0.199
Medical Officer Present (=1)	0.234 [0.424]	0.412 [0.493]	-0.177 (0.055)	0.003
Health Technician Present (=1)	0.398 [0.490]	0.347 [0.477]	0.050 (0.057)	0.379
Dispenser Present (=1)	0.707 [0.456]	0.777 [0.417]	-0.070 (0.063)	0.277
SHNS Present (=1)	0.348 [0.477]	0.340 [0.474]	0.008 (0.059)	0.890
Lady Health Visitor Present (=1)	0.587 [0.493]	0.629 [0.484]	-0.042 (0.052)	0.422
Midwife Present (=1)	0.538 [0.499]	0.474 [0.500]	0.064 (0.045)	0.164
Political Concentration Herfindahl	0.620 0.139	0.615 0.132	0.005 0.022	0.825
# of Observations	421	427		

Notes: Level of significance: Variable standard deviations reported in brackets. Standard errors clustered at the district level reported in parentheses.

doctor or overall staff attendance.

Panel B reports results splitting the treatment by survey wave 2 (May 2012) and wave 3 (October 2012). In column one, we see that the large impact on inspection has attenuated somewhat over the life of the program. Inspections remain 89% higher than they were at baseline. Figure 6 depicts attendance in treatment and control groups by wave. Future data collection will indicate whether this downward trend sustains. In columns (2) - (5), we again see no evidence of impact.

6.1 Heterogeneity by Political Concentration

The correlations we find in Section 5 above suggest the possibility of heterogeneity by the degree of political concentration. Popular accounts of local politics in Pakistan characterize it broadly as a clientelistic system—a view strongly supported by our interviews with a select group of experienced parliamentarians. Parliamentarians can influence both the allocation of

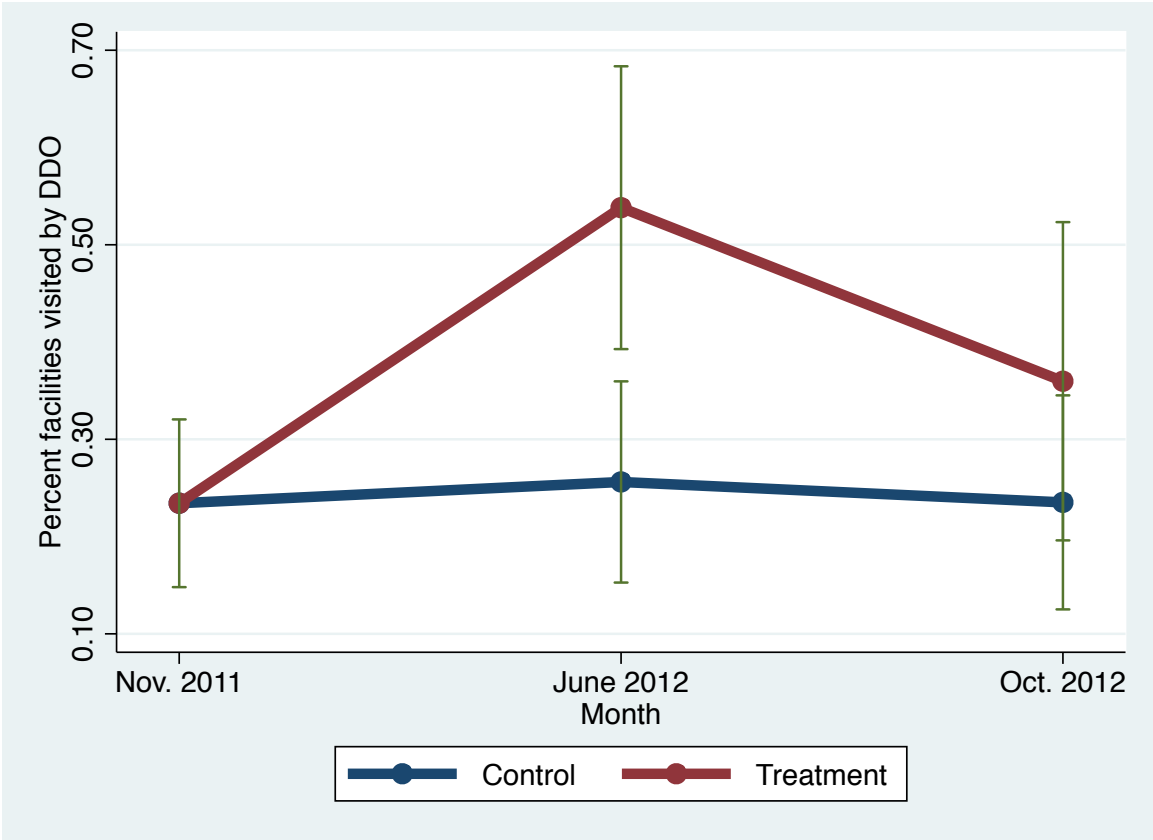


Figure 6: Effects by Survey Wave

Table 5: Impact on Inspections and Health Worker Attendance

Panel A - Average Effects	Inspected (=1)	Number of Staff Present		Doctor Present (=1)	
	(1)	(2)	(3)	(4)	(5)
Smartphone Monitoring (=1)	0.220*** (0.062)	-0.022 (0.230)	0.034 (0.201)	-0.016 (0.044)	-0.024 (0.038)
# Staff Assigned			0.435*** (0.039)		
Doctor Assigned (=1)					0.368*** (0.035)
Constant	0.217*** (0.022)	2.803*** (0.076)	0.538** (0.213)	0.326*** (0.015)	0.087*** (0.028)
# Districts	35	35	35	35	35
# Clinics	838	848	848	848	848
# Observations	2167	2542	2542	2414	2414
R-Squared	0.054	0.007	0.140	0.005	0.107
Panel B - Effects By Survey Wave	Inspected (=1)	Number of Staff Present		Doctor Present (=1)	
	(1)	(2)	(3)	(4)	(5)
Monitoring x Wave 2	0.300*** (0.076)	-0.144 (0.251)	-0.063 (0.216)	-0.035 (0.056)	-0.036 (0.050)
Monitoring x Wave 3	0.146* (0.079)	0.098 (0.245)	0.131 (0.216)	0.002 (0.054)	-0.013 (0.048)
# Staff Assigned			0.434*** (0.038)		
Doctor Assigned (=1)					0.367*** (0.035)
Constant	0.217*** (0.022)	2.803*** (0.076)	0.544** (0.209)	0.326*** (0.015)	0.087*** (0.028)
# Districts	35	35	35	35	35
# Clinics	838	848	848	848	848
# Observations	2167	2542	2542	2414	2414
R-Squared	0.063	0.009	0.141	0.006	0.107

Notes: * $p < 0.1$, ** $p < 0.05$, *** $p < 0.01$. Standard errors clustered at the district level reported in parentheses. All regressions include clinic and survey wave fixed effects.

public sector jobs, and the enforcement of reporting requirements. We use the large degree of variation in competitiveness across the 241 constituencies in our sample to check for impact heterogeneity.

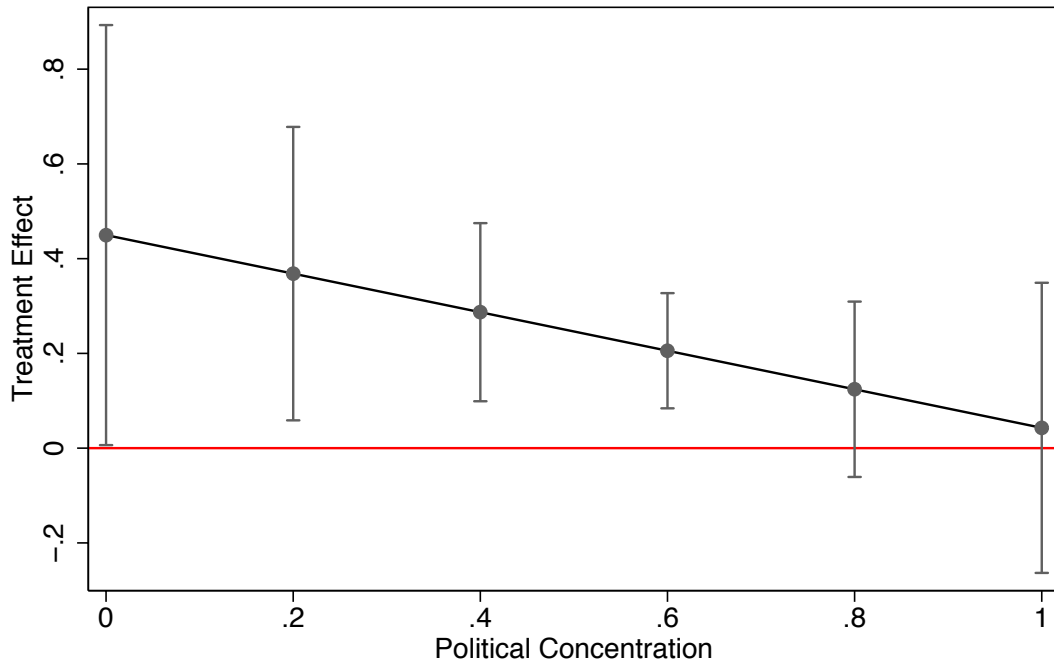
Table 6: Treatment Effects by Political Concentration

Dependent Var.	Inspected (=1)	Number of Staff Present		Doctor Present (=1)	
	(1)	(2)	(3)	(4)	(5)
Smartphone Monitoring (=1)	0.519** (0.225)	1.390* (0.717)	1.664** (0.736)	0.321* (0.180)	0.291* (0.163)
Monitoring x Political Concentration	-0.485 (0.360)	-2.254* (1.265)	-2.626** (1.207)	-0.547** (0.264)	-0.514** (0.239)
# Staff Assigned			0.434*** (0.035)		
Doctor Assigned (=1)					0.368*** (0.036)
Constant	0.217*** (0.022)	2.804*** (0.074)	0.545*** (0.195)	0.327*** (0.014)	0.087*** (0.028)
# Districts	35	35	35	35	35
# Clinics	836	845	845	845	845
# Observations	2164	2534	2534	2410	2410
R-Squared	0.056	0.014	0.144	0.009	0.110

Notes: * $p < 0.1$, ** $p < 0.05$, *** $p < 0.01$. Standard errors clustered at the district level reported in parentheses. All regressions include clinic and survey wave fixed effects.

Consistent with the correlations presented in Section 5, we find that monitoring leads to a larger increase in attendance in competitive districts. The first column of Table 6 indicates that our increase in monitoring is localized to competitive constituencies. Similarly, in columns (2) and (3), we find that treatment results in roughly an additional worker being present in the most competitive districts. Last, in columns (4) and (5) we find that doctors are present at about 30 percent more facilities in competitive constituencies, with no effect in noncompetitive constituencies.

Treatment Effects by Political Concentration (with 95% CIs)
Dependent Variable: Inspected (=1)



Treatment Effects by Political Concentration (with 95% CIs)
Dependent Variable: Number of Staff Present

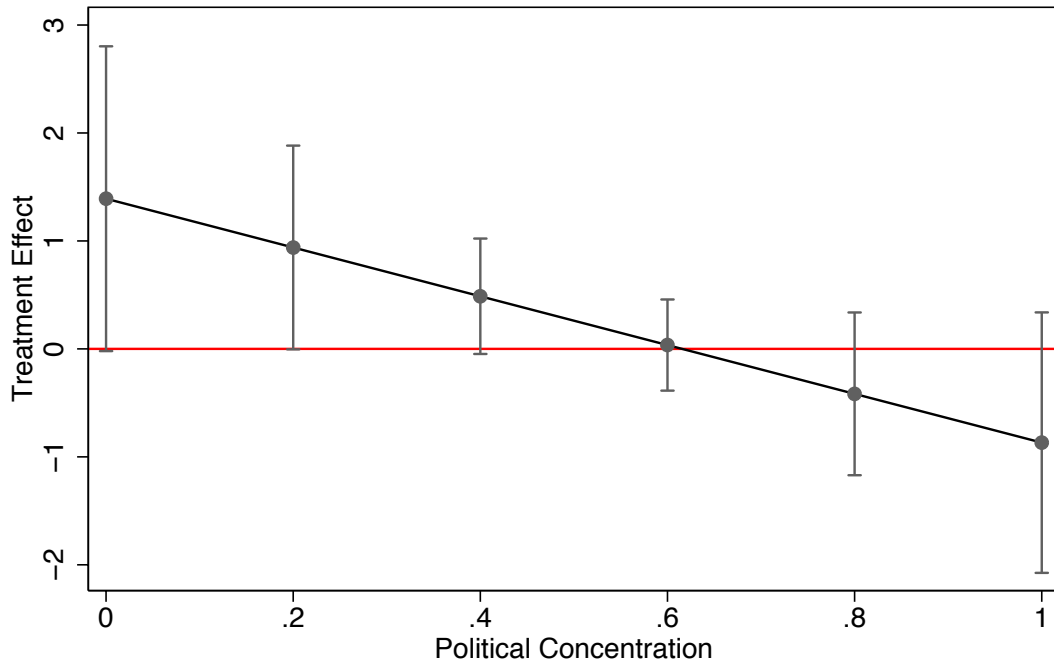


Figure 7: Treatment Effects by Political Concentration

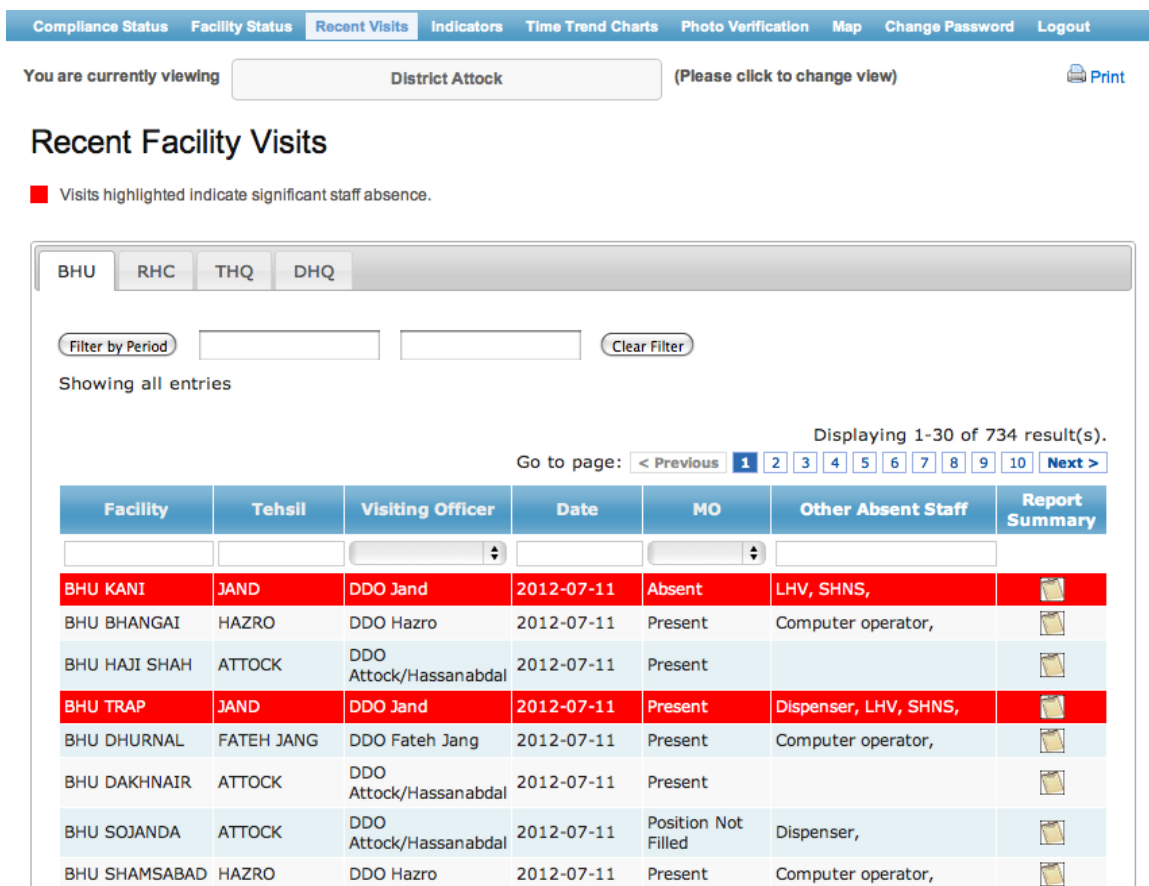


Figure 8: Highlighting Underperforming Facilities to Test Mechanisms

6.2 Mechanisms

Our set up allows a direct test of the mechanism creating an increase in doctor attendance. Data from inspections are aggregated and presented to Executive District Officers on an online dashboard. This dashboard is only visible to Executive District Officers, the Health Secretary for Punjab, and the Director General of Health for Punjab. Figure 8 provides an example of a dashboard view visible to the Executive District Officer.

To test whether actions by senior officers are affecting absence, we directly manipulated the data on the dashboard to make certain facilities salient. Specifically, we highlighted entries that found three or more staff to be absent in red on the dashboard. We examine whether this manipulation affected subsequent doctor absence with the following specifica-

tion:

$$Absent\ Survey_{jt} = \alpha + \beta_1 Flagged_{jt-1} + \beta_2 Absent\ Dashboard_{jt-1} + \sum_{i=1}^3 \delta_i + \eta_{jt} \quad (3)$$

$Absent\ Survey_{jt}$ is equal to one if doctor j was absent during our unannounced visit in wave t , $flagged_{it-1}$ is a dummy equal to one if the facility was flagged in red on the dashboard the month prior to survey wave t , and $Absent\ Dashboard_{jt-1}$ is equal to one if the doctor was noted as absent in the period prior to our survey during the official inspection.

Facilities are flagged only if three or more staff members are absent. Consequently, if we restrict our sample to only facilities where, in the month prior to our unannounced visit, only two or three staff were absent, we can estimate the effect of flagging on a sample where the only difference might plausibly be whether the facility was flagged.

Table 7 Panel A reports results from this test. In columns (1) and (2) we report results for our entire sample. In columns (3) and (4) we report results only for our sample where either two or three doctors were absent. We call this the “discontinuity” sample. Our coefficients suggest that absence in the month after an inspection is reduced by about 20 percent if the facility is flagged.

Placebo Tests

Our identifying assumption is that, conditional on whether a doctor was recorded absent on the dashboard the month prior to inspection, the assignment of the flag is random. We perform placebo tests of this assumption by assuming that facilities are flagged if four or more staff are absent. Table 7 Panel B repeats the specifications from Panel A with the placebo flag. Columns (1) and (2) report estimates for our complete sample and (3) and (4) restrict the sample to facilities where either three or four doctors were reported absent. We find no evidence of impact on facilities reaching the placebo absence threshold.

Table 7: Flagging Underperformance and Subsequent Attendance

	Absent in Unannounced Visit (=1)			
	(1)	(2)	(3)	(4)
Panel A - Discontinuity Estimates				
Facility Flagged as Underperforming on Dashboard	-0.121** (0.051)	-0.090* (0.049)	-0.131* (0.075)	-0.126* (0.068)
Doctor Reported Absent on Dashboard	0.218*** (0.065)	0.193*** (0.066)	0.271*** (0.093)	0.220** (0.105)
Constant	0.641*** (0.035)	0.625*** (0.035)	0.567*** (0.073)	0.561*** (0.074)
District FEs	No	Yes	No	Yes
# Observations	523	523	178	178
# Doctors	348	348	152	152
R-Squared	0.025	0.149	0.052	0.323
Sample	Full	Full	Discontinuity	Discontinuity
	Absent in Unannounced Visit (=1)			
	(1)	(2)	(3)	(4)
Panel B - Placebo Tests				
Placebo Flag	-0.047 (0.071)	0.014 (0.069)	0.123 (0.098)	0.145 (0.094)
Doctor Reported Absent on Dashboard	0.186*** (0.066)	0.157** (0.068)	0.275*** (0.096)	0.227** (0.112)
Constant	0.618*** (0.035)	0.601*** (0.034)	0.408*** (0.081)	0.367*** (0.083)
District FEs	No	Yes	No	Yes
# Observations	523	523	121	121
# Doctors	348	348	105	105
R-Squared	0.014	0.143	0.080	0.334
Sample	Full	Full	Discontinuity	Discontinuity

Notes: * $p < 0.1$, ** $p < 0.05$, *** $p < 0.01$. Standard errors clustered at the doctor level reported in parentheses. The Discontinuity sample are facility-month observations where either two or three (the threshold to trigger the underreporting red flag) are recorded on the dashboard. All regressions include clinic and survey wave fixed effects. Explanatory variables reflect data from the most recent official inspection recorded on the dashboard the month before our unannounced visit.

7 Conclusion

In clientelistic systems, politicians gain office by providing targeted goods to supporters instead of by effectively providing public goods. We examine a particular case: doctors may be absent and unavailable to provide health care because their position is a sinecure provided in return for political support. Three findings support this explanation for public worker absence. First, absence is significantly more severe in less competitive districts. Second, politically connected workers are absent more frequently. Third, the effects of a novel monitoring technology on the performance of government monitors remain localized to competitive districts.

Doctor, teacher, and other public worker absence is a serious obstacle to effective public service delivery in developing countries (Banerjee and Duflo 2006; Chaudhury et al. 2006). In many cases, it is also highly resistant to interventions aimed at promoting attendance. Understanding the political rationale for public worker absence opens a broader set of interventions to combat the problem. First, increasing voters awareness of public worker absence might amplify the political costs from voters not motivated by patronage.¹² Additionally, professionalizing the civil service, and eliminating politicians involvement in decisions related to bureaucratic hiring, firing, promotion, and posting would remove the opportunity to use these positions as patronage.

More generally, anti-corruption efforts often face challenges in sustaining effect. Our findings suggest that in some cases the resilience of public sector corruption may be because it is maintained for reasons of political expedience. Given the huge potential payouts to politicians from facilitating corruption, future research in the economics of corruption might consider the political rationale for corruption. Such investigations could broaden the set of anti-corruption policies and increase their impact.

¹²Along these lines, Wilson (1961) states “organized guardians of the civic purse will not permit corrupt politicoans to increase city expenditures through certain kinds of projects (for example, urban renewal, street-lighting, street-cleaning, building inspection, fire and police protection) but not through others (increasing the staffs of aldermen, multiplying executive secretariats, and hiring men to do jobs which machines can do better—such as operating elevators, sweeping streets, etc.)”

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A Finding Doctors

Doctors were frequently absent during our unannounced visits. Consequently, we had to make a concerted effort to find all of the doctors assigned in our sample. We tracked down 541 doctors after the completion of our three unannounced field visits and an additional announced visit that was specifically carried out to interview doctors that were absent in the previous waves. Table A1 describes the breakdown of our sample.

Table A1: Breakdown of Doctor Surveys

	Wave 1	Wave 2	Wave 3	Wave 4	Total
Doctors Assigned in Sample	537	509	488		
Total Interviews	266	252	226	141	885
Number of New Doctors Interviewed	266	128	60	87	541
Balance	271	115	34		

B Matching Clinics to Political Constituencies

We followed a two pronged strategy to place the clinics in their relevant electoral constituencies:

First, we gathered the GPS coordinates of each clinic in our sample during field surveys. These coordinates were compared with those provided to us by the Health Department and then verified in cases of disagreement. This enables us to place clinics on a geo referenced map of constituencies.

The Election Commission of Pakistan has publicly released maps of all provincial and national constituencies in the Portable Document Format (PDF) on their website¹³. As these maps lack vector information that is required for direct use with GPS coordinates, we manually converted the PDFs to shape files so that we can place each clinic in the correct constituency polygon. The quality of this approach however, is affected by the reliability of

¹³<http://ecp.gov.pk/Delimitation/ConstituencyMap/PA.aspx>

these base maps prepared by the Election Commission of Pakistan.

A second approach helps ensure that the placement of clinics does not hinge solely on the quality of these maps. During the second round of our surveys, we asked all responders in a clinic to identify the constituency where the clinic is located. In cases where respondents did not know the constituency number, we asked them to name the elected representative from the area. To corroborate this further, we asked the most senior official present at the clinic to identify the political constituency in consultation with colleagues during the third round of the surveys.

We manually compared the names of elected politicians provided by the clinic staff with official lists available on the website of Punjab Assembly. We assigned a constituency number if the name matched with information on the website. At the end of this exercise we had constituency information from multiple responders. We proceeded by taking the mode of these responses to assign clinics to political constituencies. In cases with disagreements, we manually compared the data with official lists of district-wise constituencies and corrected cases with obvious typos. For instance, a district with a constituency number 191 had a reported constituency number of 91, which we corrected.

Through this procedure, we were able to match all but a few clinics to constituencies. We used geo-spatial information and Election Commission of Pakistans maps to break the tie between the remaining few clinics.

Table A2: Connections and Perks

Dependent Variable:	Distance to Doctor's Hometown (minutes)						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Doctor Knows MP Personally (=1)	-131.628*** (35.431)	-112.918*** (35.675)	-127.607*** (41.792)	-95.366** (46.485)	-270.811*** (83.030)	-314.565 (188.270)	-393.636* (212.932)
Doctor's Years of Service			0.093 (0.307)	0.035 (0.361)			1.977 (1.578)
Catchment Population (1,000)			-1.950 (2.579)	-1.417 (2.471)			-5.550 (11.668)
Distance to District Center (km)			1.066 (0.899)	2.023 (1.240)			0.995 (4.310)
Constant	198.698*** (47.187)	185.783*** (42.578)	191.748** (95.577)	126.098 (90.661)	449.808*** (105.185)	460.512*** (99.948)	444.783 (364.098)
District Fixed Effects	No	Yes	Yes	No	No	Yes	Yes
Tehsil (County) Fixed Effects	No	No	No	Yes	No	No	No
Sample	Full	Full	Full	Full	>50 mins	>50 mins	>50 mins
# Observations	204	204	194	194	60	60	56
R-Squared	0.045	0.214	0.233	0.385	0.063	0.429	0.494

Notes: * $p < 0.1$, ** $p < 0.05$, *** $p < 0.01$. Standard errors clustered at the Basic Health Unit (BHU) level reported in parentheses. Sample: Full - control district BHUs; >50 minutes - control BHUs where doctor is further than 50 minutes from their hometown. All regressions include Tehsil (county) and survey wave fixed effects.

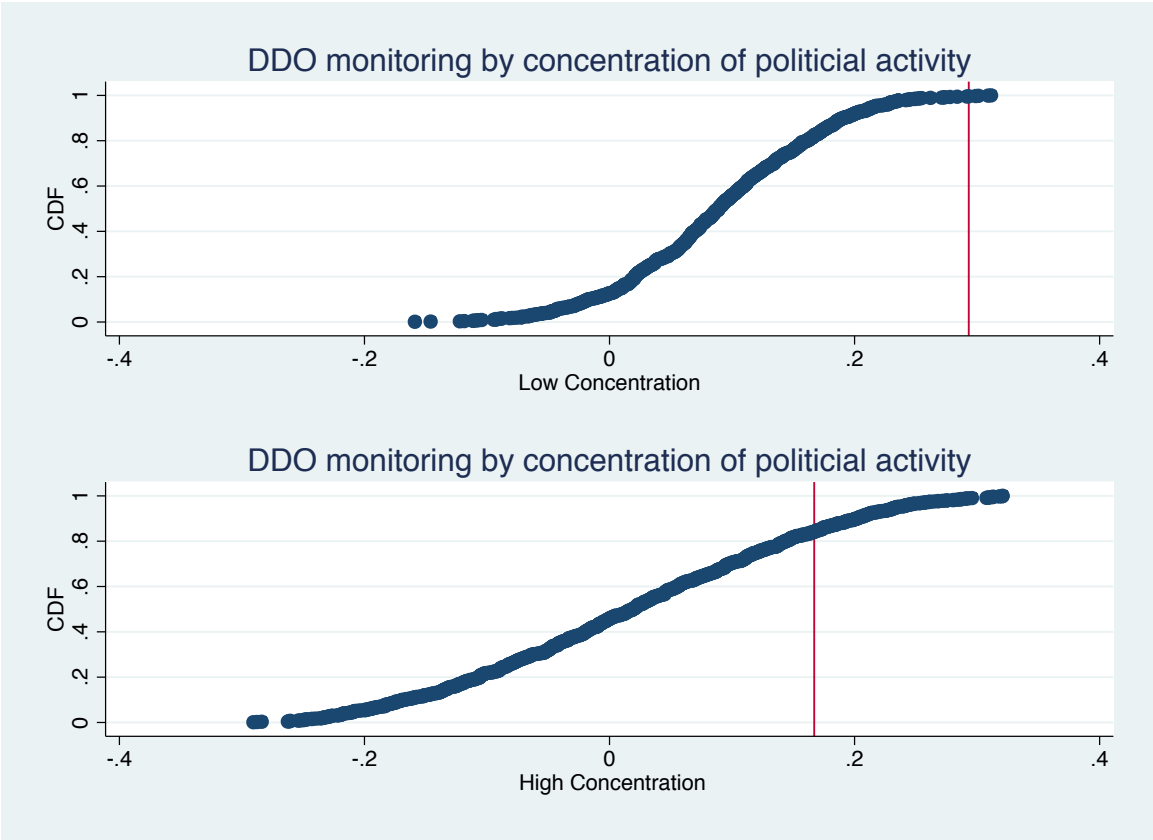


Figure A1: Estimated Distributions of Impacts by Political Concentration

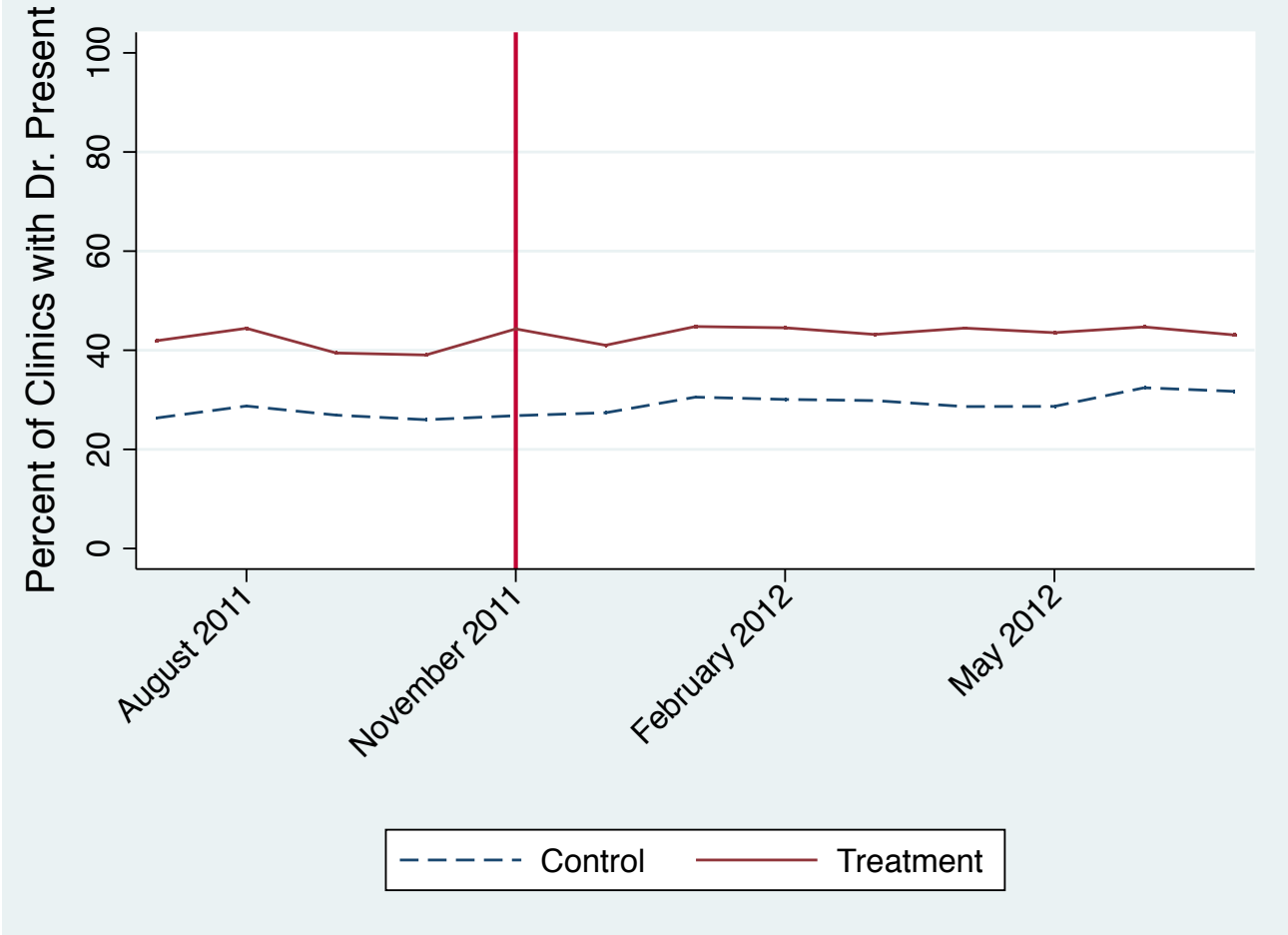


Figure A2: Average Doctor Attendance Before and After Treatment

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